

Advisory Board

Lou Rosato, Director, Investment Operations Group, Blackrock
Jesse Robinson, Managing Director, Investment Operations, SSGA
Uday Kiran Bolusani, VP Global Product & Clearing Services, Fidelity
Christoph Kuehne, Partner, Director Investment Operations, Lord Abbett
Russell Snow, Global Head Derivatives Operations, Aegon
Cihan Kasikara, Vice President, Global Trade Services, Franklin Templeton
Jay Boyd, Global Application Services Technology Officer, Invesco
Henry Kravchenko, Head of Information Technology, Marshall Wace
Jeffrey Leschen, Director of Operations, Bramshill Investments

Buy Side Speakers

Jim Kearney, Head of Global Investment Operations, Vanguard
Uday Kiran Bolusani, VP Global Product & Clearing Services, Fidelity
Lisa Martinez, Network Specialist, Capital Group
Tiffany Fleming, VP, Derivatives Operations, Prudential Investment Management
Cihan Kasikara, Vice President, Global Trade Services, Franklin Templeton Investments
Douglas Faraone, Director - Investment Operations, Franklin Templeton Investments
Ky Dong, Senior Complex Securities Specialist, Franklin Templeton Investments
Jay Boyd, Global Application Services Technology Officer, Invesco
Eric Bolisay, Vice President- Head of Derivatives Strategic Operations, T.Rowe Price
Tom Mclain, Chief Information Officer, Head of Operations & Technology, OMAM
Malcolm Khan, Managing Director, Head of Investment Operations Canada Pension Plan Investment Board
TJ Kopkash, Director, Investment Operations, CalPERS
David Benevides, Director Investment Operations, Manulife Asset Management
Scott Atwell, Manager FIX Trading and Connectivity, American Century Investments
Christoph Kuehne, Partner, Director Investment Operations, Lord Abbett
Russell Snow, Global Head Derivatives Operations, Aegon
Stephen Gouthro, Head of Investment Operations, Putnam Investments
Scott Linden, MD, Collateral Management, Wilmington Trust
April Wilcox, Director of Investment Operations, CalSTRS

Kim St. Hilaire, Global Head of Investment Operations, First Pacific Advisors
Henry Kravchenko, Head of Information Technology, Marshall Wace
Jeffrey Leschen, Director of Operations, Bramshill Investments
Jenny Tsouvalis, Senior VP and COO, OMERS
Sonia Maloney, COO, Norrep Capital Management

Sell Side and Technology Vendor Speakers

Steve Wager, EVP, Blockchain Product Solutions, Paxos
Dan Parsell, Managing Director, Equities and Prime Brokerage Middle Office, J.P. Morgan
Thomas Dunlap, Global Head of Reference Data Operations, Goldman Sachs
Stephen Ingle, Financial Services – Associate Principal, eClerx
Tom Phipps, Principal – Practice Lead, Operational Strategy, Cutter Associates
Mary Storrs, Head of Research, Cutter Associates
Mary Harris, Business Manager, North America, TriOptima
Marc Mallett, Vice President, Product Management, North America, SimCorp
David Pearson, Head of Post Trade, Fidessa
David Curran, Global Director, Risk & Compliance Solutions, Thomson Reuters
Chris John, President, Revenue & Expense Management, Broadridge Financial Solutions, Inc.
Maan Bsat, Head of Fusion Invest Business Solutions Group, Americas, Misys
Jeremy Hurwitz, President, InvestTech Systems Consulting
Ray Kahn, Senior Director, ICE
Stephen Alepa, CEO, Olmstead Associates
Scott Knous, Managing Director, Data Management Lead, Olmstead Associates
Herve de Laforcade, Buy Side Solution Manager, Calypso Technology
Smartstream, TBC

Independent Expert Speakers

Sol Steinberg, Founding Principal, The OTC Partners
Jon Ambos, Buy Side Operational Leader and Advisor
Jeff Zoller, Former Buy Side Head of Strategy, Risk and Compliance

The Invest Ops Summit Day 1

Tuesday March 21st 2017

08.00 Conference Registration & Networking Breakfast

08.50 Chairpersons' Opening Remarks: Ray Kahn, Senior Director, ICE

Re-Creating The Operating Model

09.00 **Buy Side Keynote:** Demonstrating the true value of operations: How to achieve efficient and streamlined operations that can make a real impact to the business bottom line
Jenny Tsouvalis, Senior VP and COO, OMERS

09.30 **Case Study Revolution:** The buy side blueprint for enterprise-wide automation- How to fully streamline cross-asset front-to-back processes using technology that does more with less in the current complex market conditions
Moderator: Ray Kahn, Senior Director, ICE
Uday Kiran Bolusani, VP Global Product & Clearing Services, Fidelity Investments
Marc Mallett, Vice President, Product Management, North America, SimCorp

10.10 **All Star Panel:** Transforming the global operating model- How can you embed agility and scalability into your operations to seamlessly support an expanding business that trades around the clock?
Moderator: Tom Phipps, Principal – Practice Lead, Operational Strategy, Cutter Associates
Jim Kearney, Head of Global Investment Operations, Vanguard
Chris John, President, Revenue & Expense Management, Broadridge Financial Solutions, Inc.
David Benevides, Director Investment operations, Manulife Asset Management

10.50 Morning Break

Overcoming Regulatory Complexities

Track A: Navigating The Derivatives Storm
Sol Steinberg, Founding Principal, The OTC Partners

Track B: Optimizing Efficiency in Emerging Markets

Open to a maximum of 15 buy side delegates

11.30 360° Perspective: Transitioning derivatives to a more structured environment- How can your infrastructure be transformed to overcome key operational challenges resulting from new regulatory reforms?

Moderator: Sol Steinberg, Founding Principal, The OTC Partners
Eric Bolisay, Vice President- Head of Derivatives Strategic Operations, T.Rowe Price
David Pearson, Head of Post Trade, Fidessa
Maan Bsai, Head of Fusion Invest Business Solutions Group, Americas, Misys

11.30 Leadership Boardroom: Overcoming the challenges of working in international markets- How to better support existing operations in tricky markets with minimal disruptions

Lisa Martinez, Network Specialist, Capital Group

12.10 Industry Perspective: How to retain control of complex derivatives processes whilst driving efficiency

Stephen Ingle, Financial Services – Associate Principal, eClerx

12.30 Industry Perspective: Drilling down into the impact of non-cleared margin rules- What can we expect in 3 years from now?

Mary Harris, Business Manager, North America, TriOptima

12:50 Lunch Break

Establishing Winning Partnerships

Track A: New Frontiers In Collateral Optimization
Sol Steinberg, Founding Principal, The OTC Partners

Track B: Leveraging Outsourcing Partnerships

Open to a maximum of 25 buy side delegates

14.10 Case Study Revolution: Managing the complexities of TBA margining compliance: How to cost efficiently accommodate new collateral requirements on existing platforms

Moderator: Sol Steinberg, Founding Principal, The OTC Partners
Tiffany Fleming, VP, Derivatives Operations, Prudential Investment Management

14.10 Synergy Workshop: Creating a robust management framework: How to ensure outsourcing partnerships add value to the bottom line without handing over complete control

14:30 360° Perspective: How can the buy side take advantage of the multiple new collateral optimization initiatives in the market to better source eligible collateral and meet increasing demands? Moderator: Sol Steinberg, Founding Principal, The OTC Partners Scott Linden , MD, Collateral Management, Wilmington Trust Ky Dong , Senior Complex Securities Specialist, Franklin Templeton Investments		Jon Ambos , Buy Side operational leader and advisor Russell Snow , Global Head Derivative Operations, Aegon USA Jeff Zoller , Former Head of Strategy, Risk and Compliance, T. Rowe Price
15:10 Fireside Chat: Strengthening the oversight of 3 rd party providers: How can you build a robust vendor management strategy that guarantees quality operations service whilst keeping costs down? Moderator: Mary Storrs, Head of Research, Cutter Associates Tom McClain , Chief Information Officer, Head of Operations & Technology, OMAM		
15:30	Afternoon Break	
Championing Change & Innovation		
16.10	Industry Perspective: Critical success factors for effective change management: How to make your ambitious cross-asset front-to-back transformation goals a reality Jay Boyd , Global Application Services Technology Officer, Invesco	
16.30	Quick-Fire Interviews: Commercially applying DLT – the future of operations or just a fantasy? How are various industry players leveraging this innovative technology to reduce operational complexity and streamline clearing and settlement? Moderator: Ray Kahn, Senior Director, ICE Jim Kearney , Head of Global Investment Operations, Vanguard Steve Wager , EVP, Blockchain Product Solutions, Paxos Arjun Jayaram , Founder and CEO, Ubixi	
17:10	Chairperson’s Closing Remarks: Ray Kahn, Senior Director, ICE	
17:15	Drinks Reception	

The Invest Ops Summit Day 2

Wednesday March 22nd 2017

08.15	Conference Registration & Networking Breakfast	08:00 – 09:00 Women in Investment Operations Breakfast How can the investment operations industry better attract, develop and retain top female talent? Hosted by: Tiffany Fleming, VP, Derivatives Operations, Prudential Investment Management
08.50	Chairpersons’ Opening Remarks: Jon Ambos, Buy Side operational leader and advisor	
Adding Value To The Bottom Line		
09.00	All Star Panel: Building a data warehouse that delivers significant ROI: How to develop an enterprise-wide strategy that efficiently receives, consumes and distributes data Moderator: Jon Ambos, Buy Side operational leader and advisor Douglas Faraone, Director - Investment Operations, Franklin Templeton Investments Scott Knous, Managing Director, Data Management Lead, Olmstead Associates Herve de Laforcade, Buy Side Solution Manager, Calypso Technology	
09:40	Industry Perspective: InvestOps 2020: Achieving Middle and Back Office Hyper-Automation while Reducing Operational Costs and Risk Jeremy Hurwitz, President, InvestTech Systems Consulting	
10:00	360° Perspective: Staying ahead of the game: How is the investment landscape evolving and what can operations do to guarantee their readiness for adapting to these trends? Moderator: Jon Ambos, Buy Side operational leader and advisor Stephen Gouthro, Head of Investment Operations, Putnam Investments Sonia Maloney, COO, Norrep Capital Management Jeff Zoller, Former Head of Strategy, Risk and Compliance, T. Rowe Price	
10:40	Morning Break	
Maximizing Operational Efficiency		
11:20	Industry Perspective Investing in the right people, processes and technology: How to best allocate and manage resources in your department to best support business needs Jeffrey Leschen, Associate Director, Bramshill Investments	
11:40	Fire-Side Chat Revolution: Eliminating the risk of human error at the earliest opportunity: How can you minimize and rectify mistakes in an environment characterized by speed, increasing volumes and unpredictable volatility? Moderator: Tom Phipps, Principal – Practice Lead, Operational Strategy, Cutter Associates Christoph Kuehne, Partner and Director, Investment Operations, Lord, Abbett & Co Henry Kravchenko, Head of IT, Marshall Wace Uday Kiran Bolusani, VP Global Product & Clearing Services, Fidelity	
12:20	Industry Perspective: Progressing towards a common standard of communication: How can the industry best collaborate to make this harmonization goal a reality? Scott Atwell, Manager FIX Trading and Connectivity, American Century Investments	
12:40	Lunch Break	
Optimizing Resources & Communication		
14:40	Crystal Ball Session: Investment Operations in 2027- What does the future hold in the next decade- assessing the potential implications on your people, process and technology requirements Moderator: Jon Ambos, Buy Side operational leader and advisor Cihan Kasikara, Vice President, Global Trade Services, Franklin Templeton Jenny Tsouvalis, Senior VP and COO, OMERS Jeff Zoller, Former Head of Strategy, Risk and Compliance, T. Rowe Price Stephen Alepa, CEO, Olmstead Associates	
15.20	Chairperson’s Closing Remarks: Jon Ambos, Buy Side operational leader and advisor	
15:25	Conference Close	